



DISADVANTAGED BUSINESS ENTERPRISE UPDATES

Commercial Useful Function Termination & Replacement



Overview

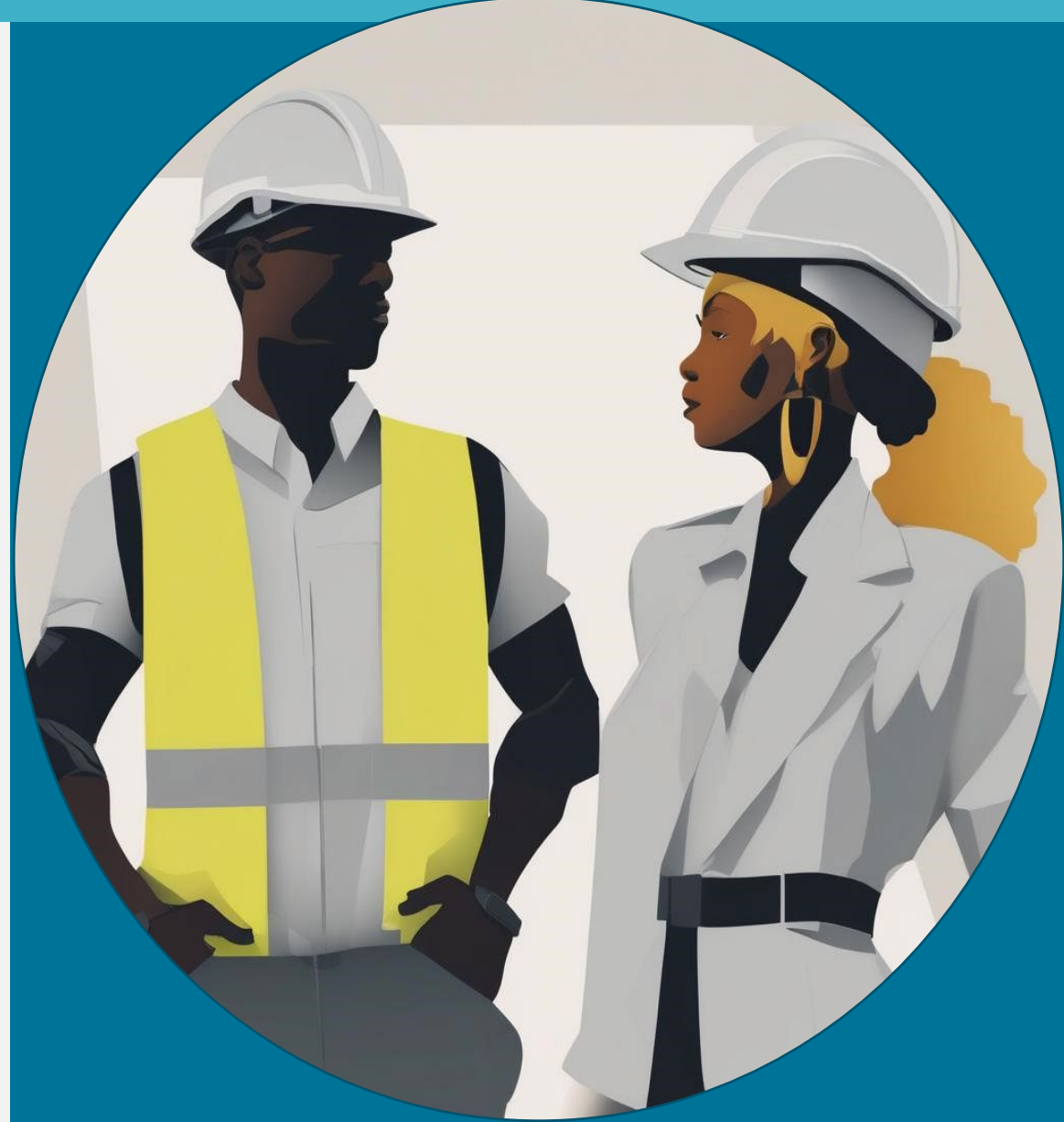
OB 23 - 01

- OB 23 – 01 that was released May 2023 and included in the 2024 LAPM
- Updates regarding Commercially Useful Function (CUF) and Termination and Replacement
- Created a new CUF evaluation form

Purpose

OB 23 - 01

- In response to FHWA technical assistance issued in 2021
- Improve consistency department wide regarding DBE CUF and Termination & Replacement
- Provides increased clarity on how to verify CUF and how to properly terminate and replace a DBE.





Updates to process

OB 23- 01

Commercially Useful Function

- Allow LPAs to identify issues earlier in the project and reduces the risks of having funds withheld by Caltrans
- Gives a timeline for when CUF evaluations are required
- The 9 – J form is to be filled out by the prime and verified by the LPA

Termination & Replacement

- Outlines a timeline and steps for the process
- Primes must make a GFE to find qualified DBE replacements
- Ensures primes work closely with the LPA throughout the process

LAPM changes

OB 23 - 01

- Changes to LAPM sections:
 - 9.7 – DBE participation on contract
 - 9.8 – Good Faith Efforts
 - 16.9 – DBE subsection
- Form changes:
 - 10-R: A&E boilerplate language
 - 12 – G: DBE section revised
 - 9-J: DLA DBE CUF evaluation form

PROJECT DESCRIPTION		DISTRICT	FEDERAL PROJECT NUMBER
PROJECT LOCATION		COUNTY	LOCAL PUBLIC AGENCY (LPA)
CONTRACTOR NAME		AWARD AMOUNT	
		\$	

Complete a Commercially Useful Function (CUF) evaluation for each Disadvantaged Business Enterprise (DBE) company performing on a federal-aid contract, with or without a DBE goal. Perform the evaluation at the beginning of the DBE's work, and continue to monitor the performance of CUF for the duration of the project.

EVALUATOR INFORMATION		
EVALUATOR'S NAME	EMAIL ADDRESS	EVALUATION DATE
EVALUATOR'S TITLE	PHONE NUMBER	

PRIME CONTRACTOR EVALUATION MEETING INFORMATION <input type="checkbox"/> N/A		
CONTRACTOR REPRESENTATIVE NAME	EMAIL ADDRESS	PHONE NUMBER
CONTRACTOR REPRESENTATIVE TITLE		

DBE CONTRACT COMMITMENT					
DBE Total Contract Commitment		DBE Company Commitment		DBE Company Work Completed to Date	
%	\$	%	\$	%	\$

DBE COMPANY INFORMATION		
DBE COMPANY NAME	COMPANY EMAIL ADDRESS	COMPANY PHONE NUMBER
DBE COMPANY OWNER	DBE ADDRESS	
NAICS CODES	WORK CODES	
ADDRESS LOCATION		OPEN TO THE PUBLIC?
<input type="checkbox"/> Storefront	<input type="checkbox"/> Warehouse	<input type="checkbox"/> Yard
<input type="checkbox"/> Manufacturing Plant or Facility	<input type="checkbox"/> Yes <input type="checkbox"/> No	
DBE REPRESENTATIVE NAME	EMAIL ADDRESS	PHONE NUMBER
DBE REPRESENTATIVE TITLE		

BRIEF DESCRIPTION OF DBE'S SCOPE OF WORK (Include the bid item number and item description)

Attach a copy of subcontract agreement or purchase order as applicable.

TYPE OF DBE
 DBE company is performing as:

<input type="checkbox"/> Prime Contractor (Section 1)	<input type="checkbox"/> Trucking (Section 2)	<input type="checkbox"/> Professional Services (Section 5)
<input type="checkbox"/> Subcontractor (Section 1)	<input type="checkbox"/> Regular Dealer (Section 3)	<input type="checkbox"/> Broker (Section 6)
<input type="checkbox"/> Tier Subcontractor (Section 1)	<input type="checkbox"/> Manufacturer (Section 4)	

Complete the appropriate section to document the evaluation.

DBE RESOURCES:

District DBE Coordinators list:

D01 - rachel.barry@dot.ca.gov

D02 - freda.london@dot.ca.gov

D03 - angel.araiza@dot.ca.gov

D04 - jimmy.panmai@dot.ca.gov

D05 - cori.marsalek@dot.ca.gov

D06 - simin.abdollahian@dot.ca.gov

D07 - vitavet.buranabul@dot.ca.gov

D08 - steven.stockman@dot.ca.gov

D09 - adam.zumstein@dot.ca.gov

D10 - parminder.singh@dot.ca.gov

D11 - benjamin.guerrero@dot.ca.gov

D12 - mannedel.gomez-cruz@dot.ca.gov

DLA LCC Website : <https://dot.ca.gov/programs/local-assistance/local-civil-compliance>

