

LOCAL CIVIL COMPLIANCE

LCC Overview

Alison Nealon
Disadvantaged Business Enterprise Liaison



Overview of Local Civil Compliance

- Established in April 2023.
- Reviews and interprets federal Civil Rights Program regulations for implementation on local projects receiving federal funds.
- Provides direction and guidance for local agencies through Chapter 9 of the LAPM, training, outreach, and technical support.
- Works collaboratively with District staff to provide guidance and support to local agencies to ensure compliance.
- Meets regularly with DLA Management, Office of Civil Rights, FHWA, and DLAE's.



Who is Who in Local Civil Compliance?



Pauline Valenzuela
Chief, Office of Local Civil Compliance

Disadvantaged Business Enterprise Liaisons



Tracy Coan
Districts 1,
2, 3, & 4



Alison Nealon
Districts 5,
6, 9, & 10



Jesse Garcia
Districts 7,
8, 11, & 12



Aaron Catubig
Branch Chief, Local Civil Compliance Data and Oversight



Lai Leong
Local ADA
Coordinator



Loi Tran
Local Title VI
Coordinator



Vibhav Mayekar
Local Civil Compliance
Data Specialist

Disadvantaged Business Enterprise Overview

- Gives socially and economically disadvantaged businesses equal opportunity to compete for and obtain federally funded contracts and business development opportunities.
- Agencies must set DBE participation goals, monitor program activities, and report levels of participation
- When a contract includes a DBE goal, the agency must verify that its contractors have made an adequate good faith effort to provide DBEs subcontracting opportunities and that the DBE subcontractor is performing what is known as a necessary and commercially useful function on the project.

What do the DBE Liaisons do?

- The DBE Liaisons work with local partners, Caltrans districts, and Office of Civil Rights (OCR) to set the goals for each project and ensure that the **statewide goal is being upheld** through assistance goal setting and good faith effort documentation
- Update Chapter 9 of the Local Assistance Procedures Manual (LAPM) to keep up with changing federal regulations
- Develop trainings and online resources to make guidance as clear and accessible as possible.



ADA/Section 504 Overview



ADA

- Prohibits discrimination on the basis of disability in employment, access to public services, public accommodations, commercial facilities, and transportation

Section 504

- Requires that any entity receiving federal financial assistance must ensure that persons with disabilities are not discriminated against in all aspects of employment, or denied access to the goods or services that these federal fund recipients provide.

What does the Local ADA Coordinator do?

- Plan and coordinate ADA efforts to ensure LPAs are ADA compliant
- Conduct ADA/Section 504 program assessments (desk and onsite)
- Ensure local grievance complaints filed through the Caltrans ADA Infrastructure Program are conducted until cases are closed



Title VI Overview

Title VI of the Civil Rights Act of 1964 (Title VI)

- Prohibits discrimination on the basis of **race, color, or national origin (including limited English proficiency)** under any program or activity receiving federal financial assistance.
- Applies to all programs or activities of LPAs, including sub-recipients (local partners/contractors/consultants/etc.) whether federally funded or not.

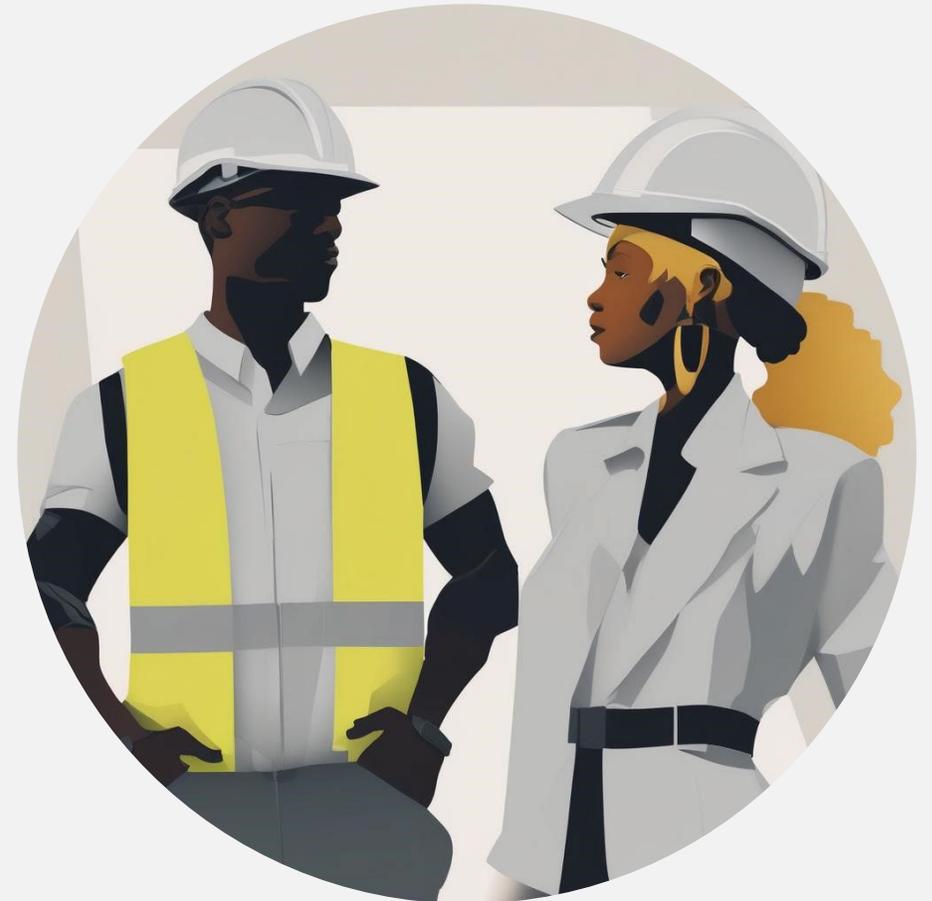


Additional Non-discrimination Statutes

- **Sex** – Section 162 (a) of the Federal-Aid Highway Act of 1973
- **Age** – Age Discrimination Act of 1975
- **Disability** – Section 504 of the Rehabilitation Act of 1973/Americans With Disabilities Act (ADA) of 1990 (Protected under Title II)
- **Executive Order 13166** - "Improving Access to Services for Persons with Limited English Proficiency (LEP)"
- **Executive Order 12898** - "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations"

What does the Local Title VI coordinator do?

- Assists LPAs in the development of their Title VI program and Title VI Plan
- Conducts Title VI program assessments (desk and onsite)
- Ensures Title VI complaints received from LPAs are forwarded to Caltrans Office of Civil Rights for processing



What does the LCC Data Specialist do?

- This is a **new position** and one example of how LCC is looking to **innovate and improve workflow** statewide.
- The LCC Data specialist is building tracking systems and setting up databases, through platforms like Smartsheets, to better track civil compliance data. This includes:
 - Creating an online portal for document submission
 - Tracking which firms bid on projects to verify which firms are active
 - Digitizing information and making it more accessible, removing silos

Visit LCC on the Web

- We continuously update our website with new information and resources.
- Each program has a pillar on our main page where you can go to learn more.

The screenshot shows the Caltrans website header with navigation links: CA.gov, .GOV, About Caltrans, Contact Us, ADA Certification, Travel, Work with Caltrans, and Progra. Below the header are three dark blue pillars, each with a circular icon and text:

- Americans with Disabilities Act (ADA)**: Ensures Local Public Agency receiving federal funds do not discriminate on the basis of disability in their programs, activities, services, or benefits they provide to the general public. [LEARN MORE ABOUT ADA](#)
- Disadvantaged Business Enterprise (DBE)**: The DBE Program is intended to ensure a level playing field and foster equal opportunity in federal-aid contracts. [LEARN MORE ABOUT DBE](#)
- Title VI**: Ensures that Local Public Agency receiving federal funds do not discriminate on the basis of race, color, national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination. [LEARN MORE ABOUT TITLE VI](#)

Visit LCC on the Web

- **NEW** Knowledge Center Page
- On-demand trainings and how-to guides for all LCC focus areas
- Continuously updated with more topics

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LCC Knowledge Center

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[ADA and Section 504 of the Rehabilitation Act](#)

[Title VI of the Civil Rights Act of 1964](#)

[Disadvantaged Business Enterprise](#)

DBE Overview and General Information

2023 Civil Rights Academy: [Day 1](#), [Day 2](#), [Resources/Material](#)

[2022 Resident Engineers Academy: DBE Presentation](#)

[DBE Workshop Slide Deck Presentation and Q&A - October 5, 2023](#)

How to Search for a DBE Certified Firm

[Step by Step PDF](#)

[Slide Deck from Spring Training](#)

[YouTube Video](#)

Setting a DBE Goal

[Sac State DBE Overview and Goal Setting Training](#) and [Q&A](#)

Questions?



For general questions email local.compliance@dot.ca.gov

For project-specific questions, please contact your district DBE coordinator

District	DBE Coordinator Email
1	rachel.barry@dot.ca.gov
2	freda.londen@dot.ca.gov
3	angel.araiza@dot.ca.gov
4	jimmy.panmai@dot.ca.gov
5	cori.marsalek@dot.ca.gov
6	simin.abdollahian@dot.ca.gov

District	DBE Coordinator Email
7	vitavat.buranabul@dot.ca.gov
8	eduardo.castaneda@dot.ca.gov
9	forest.becket@dot.ca.gov
10	alma.sparks@dot.ca.gov
11	benjamin.guerrero@dot.ca.gov
12	mannedel.gomez-cruz@dot.ca.gov